



**DECLARATION OF FATCA SELF-CERTIFICATION  
FOR AN INDIVIDUAL (ONLY FOR NON-REGISTERED ACCOUNTS)  
BAROMETER MUTUAL FUNDS (THE “FUND” OR THE “FUNDS”)**

The Fund(s) will use the information provided in this form to determine its tax reporting requirements. If the self-certification establishes that the individual is a U.S. resident for tax purposes, is not completed, or the reasonableness of the self-certification cannot be confirmed, the Pool will report the relevant information to the Canada Revenue Agency (CRA) which will report to the U.S. Internal Revenue Service (IRS).

<b>1. Account Details</b>	<p>Account Number _____</p> <p>Dealer Account Number (if applicable) _____</p> <p>Account Holder’s Name _____</p> <p>Joint Account Holder’s Name (if applicable) _____</p>
<b>2. Account Holder’s FATCA Self-Certification</b> In general, you should consider yourself to be a U.S. citizen if Any of the following apply: • You were born in the U.S. and have not relinquished U.S. citizenship; • You are a naturalized U.S. citizen; or • You hold a valid U.S. passport.	<p><b>Mandatory for all Non-Registered Accounts</b> – The following question should be answered only by an individual account holder.</p> <p><b>Are you a U.S. resident for tax purposes (which includes a U.S. citizen)?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If <b>YES</b>, provide a U.S. Taxpayer Identification Number (TIN)* _____ <b>(*If you are a U.S. resident or citizen and do not have a U.S. TIN, you must apply to the U.S. government for a U.S. TIN within 90 days after this request and provide the U.S. TIN to the Fund(s). If you fail to provide your U.S. TIN you may be subject to a penalty under Canada’s Income Tax Act.)</b></p> <p>If <b>NO</b>, and you are a temporary U.S. visitor, such as retirees or “snowbirds” and students, who spend considerable time visiting in the U.S., you can make the below <i>optional</i> U.S. Visitor Declaration. This declaration is valid until the earlier of (1) the end of the calendar year in which 7 years have elapsed since the declaration was made and (2) until it is no longer valid, e.g. because the individual has become a U.S. resident.</p> <p><input type="checkbox"/> Check if making this declaration</p> <p>I certify that I am a <b>resident of Canada</b>. I further certify that any U.S. address, telephone number or standing instructions to transfer funds to an account maintained in the U.S. associated with this account only exists or will arise only in the context of temporary visits that I make to the U.S. while I remain a resident of Canada and not, at any time, a resident of the U.S. for tax purposes or a U.S. citizen. I agree to notify the Fund(s) if events cause this certification to become false or misleading.</p> <p><input type="checkbox"/> Check if making this declaration</p>

<p><b>3. Joint Account Holder's FATCA Self-Certification</b> (if applicable)</p>	<p><b>Mandatory for all Non-Registered Accounts</b> – The following question should be answered only by an individual account holder.</p> <p><b>Are you a U.S. resident for tax purposes (which includes a U.S. citizen)?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If <b>YES</b>, provide a U.S. Taxpayer Identification Number (TIN)* _____ (*If you are a U.S. resident or citizen and do not have a U.S. TIN, you must apply to the U.S. government for a U.S. TIN within 90 days after this request and provide the U.S. TIN to CI. If you fail to provide your U.S. TIN you may be subject to a penalty under Canada's Income Tax Act.)</p> <p>If <b>NO</b>, and you are a temporary U.S. visitor, such as retirees or “snowbirds” and students, who spend considerable time visiting in the U.S., you can make the below <i>optional</i> U.S. Visitor Declaration. This declaration is valid until the earlier of (1) the end of the calendar year in which 7 years have elapsed since the declaration was made and (2) until it is no longer valid, e.g. because the individual has become a U.S. resident.</p> <p><input type="checkbox"/> Check if making this declaration</p> <p>I certify that I am a <b>resident of Canada</b>. I further certify that any U.S. address, telephone number or standing instructions to transfer funds to an account maintained in the U.S. associated with this account only exists or will arise only in the context of temporary visits that I make to the U.S. while I remain a resident of Canada and not, at any time, a resident of the U.S. for tax purposes or a U.S. citizen I agree to notify the Fund if events cause this certification to become false or misleading.</p> <p><input type="checkbox"/> Check if making this declaration</p>
<p><b>4. Account Holder(s) Authorization</b></p>	<p>I declare that the information I have provided on this form is, to the best of my knowledge and belief, correct and complete.</p> <p>_____</p> <p>Account Holder's Signature <span style="float: right;">Date (Month/Day/Year)</span></p> <p>_____</p> <p>Joint Account Holder's Signature (if applicable) <span style="float: right;">Date (Month/Day/Year)</span></p>
<p><b>5. Representative Authorization</b></p>	<p>I confirm that I have reviewed the details provided in this form with the account holder(s), and to the best of my knowledge and belief, the information provided is correct and complete.</p> <p>_____</p> <p>Representative Signature <span style="float: right;">Dealer Name and Representative Code</span></p> <p>_____</p> <p>Representative Name (please print) <span style="float: right;">Date (Month/Day/Year)</span></p>